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BTEC Centre Guide to
Standards
Verification
2018-2019

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Introduction

Welcome to the guide to standards verification for all our Entry to Level 3 vocational qualifications. This guide provides you with the information you need to be prepared for this process in 2018-2019.

It takes you through:

- what you need to do
- who's responsible at each stage

and gives additional advice and guidance around best practice. We hope you find this guide useful and we've aimed to cover all the relevant points to help you successfully deliver internal assessments for BTEC.

We're here to help

If you need more information or support at any stage, please do contact the **BTEC Assessment Team** at: btecdelivery@pearson.com or your dedicated **Vocational Quality Advisor** at: qualitynominees@pearson.com

We are here to help with all your assessment queries.

For other general queries, please visit the [contact us pages](#) of our website so your question can be answered as quickly as possible by our teams.



How to use this guide

1. What you need to do

Step 1: Read the current information regarding standards verification that is published on our website

If you are new to delivering BTEC qualifications then you need to start by familiarising yourself with the term 'standards verification', and how we quality assure the internal assessment that you undertake. Further guidance on the process can be found [here](#)

Standards verification is how we check that you are operating appropriate quality assurance and maintaining national standards at programme level.

This document provides more information on the process and offers support to help you prepare for the delivery of our new suites of qualifications as well as our legacy qualifications.

Step 2: Read this guide

This guide provides you with the additional information you need to undertake standards verification for the following BTEC qualifications:

- BTEC Entry, Firsts, Specialist and Nationals (QCF Legacy)
- BTEC Firsts
- BTEC L3 Nationals (RQF)
- BTEC Tech Awards
- BTEC L2 Technicals
- BTEC RQF Entry to Vocational Studies

There's a detailed, step-by-step guidance for your Lead Internal Verifiers and Programme teams, so you can be confident that you have everything covered.

A separate guide is available for the RQF Introductory qualifications and can be found on the [Key Documents](#) page of the BTEC website.

Step 3: Read the internal assessment section in the relevant BTEC specification

You also need to read any specific instructions in the relevant qualification specification document which you can find on the [Pearson Qualifications website](#)

Working from our guidance documents will ensure you have all the correct requirements in place for each stage of the standards verification process.

2. What you need to know

How we verify standards

We allocate a Standards Verifier, who is a subject expert, to conduct sampling of Assessment Plans, Assignment Briefs and assessed learner work in order to provide judgments and feedback.

Standards Verifiers support you in identifying good practice and areas for further development, giving you guidance on how you can improve your assessment. We will aim to allocate you the same Standards Verifier if you are running programmes from multiple frameworks from the same sector.

If your Standards Verifier finds that learner work doesn't meet the national standard outlined in the specification, you'll agree actions that need to be completed before we'll be able to issue certificates to your learners. This will include providing a second sample to show that you've responded to the Standards Verifier's feedback.

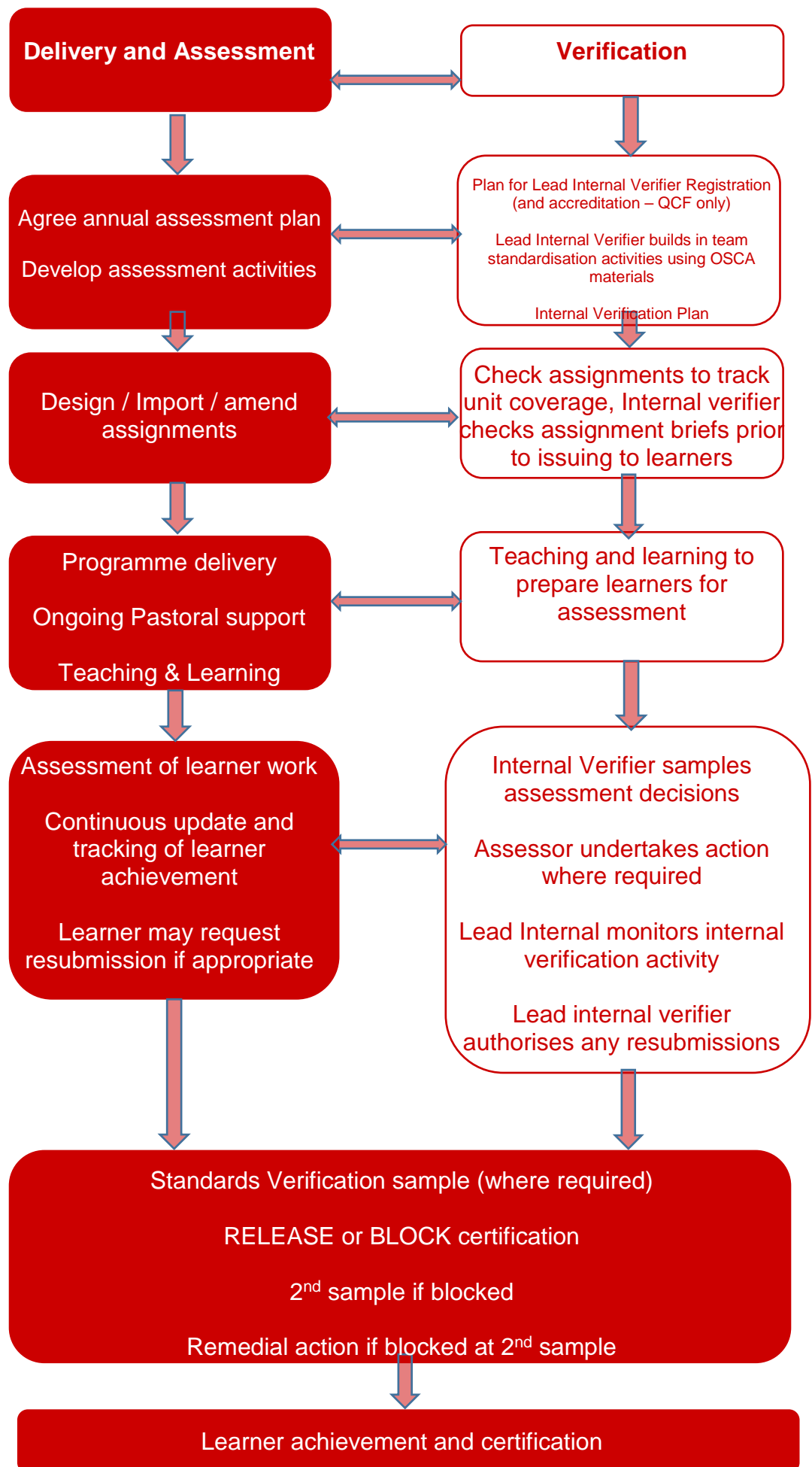
Roles with responsibilities

It is important that you have a team in place at your centre in order to deliver and assess your BTEC programme effectively. Our website provides an overview of the main quality assurance responsibilities for each role here.

Planning

Good planning is the first step to your successful delivery of our vocational qualifications. It is the best way of making sure everything is in place to ensure unit coverage is robust, achievable and the rules of combination have been met for a specific qualification





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The Standards Verification Process

1. Allocation of your standards verifier

To enable a timely allocation of a Standards Verifier, your Examinations Officer should have registered any learners onto programmes by 05 November. Lead Internal Verifiers will need to log into OSCA to confirm they are responsible for a Principal Subject Area. Based on this information and your registrations, from November 2018 we will identify which centres require standards verification.

What if I am running a QCF Entry Level to Level 3 programme?

There are four reasons for us to allocate programmes to a Standards Verifier for QCF:

Confirmation sampling

Each year we'll select a proportion of Lead Internal Verifiers, who have received accreditation through OSCA, for confirmation sampling. If you're selected, you'll need to complete standards verification to confirm that the assessment practices within your Principal Subject Area still meet national standards.

You should expect to take part in confirmation sampling at least once during your accreditation period.

Find out more about [Leader Internal Verification Accreditation](#)

Accreditation sampling

If your performance in the standardisation exercise suggests that you would benefit from additional support, we'll allocate a Standards Verifier for accreditation sampling. You'll need to complete standards verification to confirm that the assessment practices within your principal subject area meet national standards. Should the Standards Verifier release certification at the first sample following accreditation sampling, certification will be released and you'll gain accreditation for your Principal Subject Area for a further 3 academic years.

Self-accreditation not attempted

Once the final standardisation window has closed, we'll allocate a Standards Verifier to all Lead Internal Verifiers who haven't attempted the exercise. You'll need to complete standards verification to confirm that the assessment practices within your Principal Subject Area meet national standards. Should the Standards Verifier release certification, you'll need to complete the standardisation exercise in the next available window in order to gain accreditation.

Direct to Standards Verifier

The Principal Subject Areas listed below either aren't covered by Lead Internal Verifier accreditation or are no longer covered by Lead Internal Verification accreditation

- BTEC Aeronautical Engineering
- BTEC Agriculture
- BTEC Animal Care
- BTEC Aviation Operations
- BTEC Cleaning and Facilities Management
- BTEC Countryside
- BTEC Fire services
- BTEC Horticulture
- BTEC Land-based Studies
- BTEC Management
- BTEC Management (Law)
- BTEC Maths
- BTEC Supporting Teaching and Learning
- BTEC Sustainability
- BTEC Transport and Logistics

The One SV Approach

We will aim to allocate the same Standards Verifier if you are running programmes across more than one framework. **Please note that due to the nature of the qualification, you will be allocated a separate Standards Verifier for the Level 1 introductory suite.**

We begin to make these allocations in January with a view to completing allocations by the end of February 2019. We do this by informing your Quality Nominee that a programme has been allocated a Standards Verifier via our Edexcel Online system.

This will mean that your allocated Standards Verifier(s) will make contact with you from early January through to early March 2019.

If you have programmes with no active learners but are allocated a Standards Verifier, then please notify us as soon as possible at: btecdelivery@pearson.com



I have questions about my allocation

If you have any queries about the allocation of your standards verifier please contact your dedicated Vocational Quality Advisor at qualitynominees@pearson.com



2. Arranging the sample

The Lead Internal Verifier should provide the assessment plan/s for all registered programmes including:

- who is assessing each unit and learner and when assessment is expected to be completed
- who is internally verifying the assessment decisions and when internal verification is expected to be completed
- planned resubmission opportunities and internal verification dates for this

If the programme is administered using myBTEC, the Standards Verifier can access the assessment plan through the platform. There is no need to send a hard copy of the assessment plan to the Standards Verifier.

If the programme uses paper based quality assurance documentation, then this can be emailed or posted to the Standards Verifier.

You can find out more about myBTEC [here](#).

The Standards Verifier will use your assessment plan to:

- agree the date(s) by which the Standards Verifier will receive the sample by post or visit your centre
- confirm the units to be sampled
- agree a date when you will provide the learners' final grades to allow the sample to be selected.
- confirm that a valid combination of units are being assessed for the programme/s delivered
- check that synoptic assessment is taking place at an appropriate point of the programme, where relevant
- advise you on any potential for disadvantage to your learners.

In order for your assessment plan to be considered fit for purpose, it must allow for a timely sample to take place. You should arrange for your sample to be available as early as possible in the sampling window. If you are planning to complete the qualification within one year, you should ensure units are completed and available for sampling within the sampling window

You will be aware that only completed units with finalised grades can be sampled. Standards verification must happen **after all the assessment opportunities (including resubmissions, and where appropriate retakes)** have taken place for your learners

Standards verification is the final stage of the assessment process and once completed and the report released to you, **the grades agreed by the Standards Verifier for the sampled unit/s for the entire cohort must not change.**

To prevent any delay to certification, it is important that you ensure that **the grades entered at Edexcel Online before the 5 July deadline match the grades agreed with your Standards Verifier for the entire cohort.**

All first sample reports must be completed by 30 May 2019.

All second sample reports must be completed by 30 June 2019.

This is to ensure that learners completing in the current academic year have their results in time for college and university admissions results day.

It's important that you stick to these timescales as any late sampling can affect certification of learners.

Q:
What if I am delivering a qualification with Employer Involvement?

We verify employer involvement through existing quality and verification visits. You can use your own forms and documentation within these reviews or you can use our [employer involvement plan](#)

- An annual Quality Management Review process will check that employer involvement plans exist at a centre level
- Standards verifiers will look at your planned employer involvement when you submit your Assessment Plans.
- We don't require you to use our documentation for this part of these reviews; you can use your own documents, forms and systems.

3. Sampling explained

Where possible, sampling will include assessment decisions made by all Assessors delivering and assessing on the programme during the registration period, and will cover the full range of grades awarded

In line with your internal verification schedule, Standards Verifiers will need to select internally verified learners as part of the sample so you should indicate which learners have been internally verified on the assessment tracking document.

Please note that it is not a requirement that all learners selected for the sample need to have been internally verified. Your Standards Verifier may request to see a different unit if it isn't possible to get a sample representing a range of grades, Assessors or types of evidence from these minimum requirements.

If essential actions are needed then second sampling is required, and the Standards Verifier will:

- review the re-assessed and finalised grades for the whole cohort and select additional learner samples to ensure that feedback has been applied to the whole cohort
- sample a further unit(s) where available to ensure that any feedback has been applied across the programme and by your assessment team as a whole.

The aim of this sampling structure is to minimise the administration burden for centres who are assessing accurately, yet provide fuller support for assessment when a need for this is identified.



4. Preparing your sample

For each learner selected as part of the sample, you'll need to provide your Standards Verifier with definitive evidence of the assessment process for an entire unit, which they'll use to make a judgment on whether national standards are being applied. The materials you send to your Standards Verifier will include:

Assignment briefs

- all assignment briefs used to generate learner evidence for the units selected for sampling.
- if you have used the free Assignment Checking Service, please inform your Standards Verifier and provide them with a copy of the feedback received from the service.

Learner evidence and assessment records

- completed unit of learner work
- assessment decisions for the learner work and related Assessor feedback
- signed and dated declaration of authenticity by the learner (this is accepted in an electronic format)
- confirmation from the Assessor that the evidence is authentic and is the learner's own work.

Internal verification documentation

- internal verification documents for the assignment briefs selected for sampling
- internal verification documentation relating to the assessment decisions.
- the Lead Internal Verifier declaration

A full audit trail of any resubmissions

- signed and dated authorisation from the Lead Internal Verifier, showing the resubmission deadline and any conditions that were put in place e.g. to prevent collusion
- initial assessment record
- resubmitted learner evidence with a signed and dated declaration of authenticity from the learner
- assessment records for the re-submission detailing the additional evidence submitted and any changes to the assessment decisions
- confirmation from the assessor that the resubmitted evidence is authentic and the learner's own work

If materials are incomplete, inappropriate or don't appear to be authentic, your Standards Verifier won't be able to complete sampling and will contact you for clarification.

5. Completing sampling

Assessment and internal verification records can be accessed by the Standards Verifier in myBTEC. You do not need to provide hard copies if using this platform.

With the exception of Art and Design, some Childcare programmes and our license linked qualifications, standards verification is conducted remotely which means you will need to send the evidence for your sample to the Standards Verifier. You can do this electronically using a file-share platform or you can use our free, [secure courier service](#) to send copies of the evidence as a paper based copy or via USB, CD or DVD.

Please do not send us the originals of the work as we will be unable to return them to you once sampling has been completed.

Top Tip from our Standards Verifier:

It is good practice to have a second copy of the work in the unlikely event the work is lost in transit to your Standards Verifier.



6. Accessing your Standards Verifier's report

Your Standards Verifier will produce a report within 10 working days of reviewing your sample. Once the report has been completed, your Quality Nominee will receive an email to let them know the report is available to view at Edexcel Online.

Each Standards Verifier is subject to performance monitoring during the sampling window. They will submit standards verification samples from their allocations to a Team Leader for review. Your Standards Verifier will notify you if your report may take longer than 10 working days to be made available to you due to Team Leader monitoring.

7. Outcomes of your first sample

There are three possible outcomes of your first sample:

- Certification release
- Certification block
- Not yet fully sampled (NYFS (not available for 2010 QCF Legacy programmes))

Report status	Outcome
Certification release:	<ul style="list-style-type: none">• You've provided a full sample and your Standards Verifier has confirmed you have adequate quality assurance procedures in place. Your Standards Verifier has agreed that you're assessing learners to the national standard.• They may offer recommendations on how to improve on current practice.• This means certification is released for the academic year and a second sample is not required.
Certification block:	<ul style="list-style-type: none">• You've provided a full sample but your Standards Verifier does not agree that you're assessing learners to the national standard. This is because one or more of your assessment decisions have been judged to be incorrect, or the approach to assessment and verification doesn't meet the requirements.• Certification is blocked and a second sample will be requested. If the Standards Verifier judges that learners have been disadvantaged, they can authorise a further resubmission, on the basis that the original assessment decisions were invalid. This will be clearly stated on the standards verification report.



Not yet
Fully
Sampled
(NYFS):

- If your Standards Verifier is not able to see the full sample and none of your learners are completing the qualification in the current academic year, they can begin the process by reviewing the parts of the sample that are ready. This could mean looking at fewer units of learners' work or just looking at the assignment briefs, depending on what is available. This allows your Standards Verifier to support you as early as possible in your delivery to reduce risks for your learners.
- **A Standards Verifier report based on an incomplete sample does not release certification** but will change the report status to NYFS meaning that the programme is not yet fully sampled. Once you've received a NYFS report, the standards verification process is complete for the year, but you should read the comments and complete any essential actions as soon as you can.
- **No second-sampling is possible until** a complete first sample has taken place.

8. Submitting a second sample

You will need to submit a second sample if your first sample resulted in a certification block. Your Standards Verifier will get in touch to negotiate and agree a timescale for you to submit the second sample once you've accessed the original report. A second sample will be conducted remotely, even if your first sample was conducted by a visit.

Your second sample will include:

- the elements of the first sample that caused the original block
- evidence that amendments have been made to address the issues highlighted in the Standards Verifier report. There are usually two ways to address this:
 - re-assess the learner work in line with the Standards Verifier's decision. This should be in respect of the whole cohort and not just the learners sampled
 - an SV authorized resubmission
- work from additional learners so that your Standards Verifier can check that standards are being reapplied consistently across your learners
- samples from an additional unit to ensure that any feedback has been applied across the programme and by the assessment team as a whole.

Once your second sample has been reviewed, your Standards Verifier will submit a second report within 10 working days. Your Quality Nominee will receive an email to let them know the report is available to view at Edexcel Online.

9. Outcomes of your second sample

There are two possible outcomes of your second sample:

Report status	Outcome
Certification Release (2)	<ul style="list-style-type: none">• Your Standards Verifier has agreed that you are now assessing learners to the national standard. Your quality assurance procedures will also have been confirmed as adequate.• They may offer recommendations on how to improve on current practice.• This means certification is released for the academic year.
Remedial action required	<ul style="list-style-type: none">• Your Standards Verifier still doesn't agree that you are assessing to national standards.• At this point the Principal Standards Manager or Senior Standards Verifier for the sector will contact you to agree an action plan. You'll need to complete the action plan before certification can be released for the academic year.

10. Appeals

Should you wish to appeal your standards verification outcome this will need to be submitted in writing to the BTEC Assessment Team at btecdelivery@pearson.com.

We will then ask a member of the Senior Team for the subject to review the sample and report. The outcome of the appeal can be expected within 10 working days of being received by the BTEC Assessment Team.

Checklist

We've provided a checklist to support you with the standards verification process.

Checklist	☐
My Exams Officer has registered my learners on Edexcel Online and I have checked this for accuracy	
I have an assessment plan which includes all of the required information and confirms that the correct rules of combination are being followed for the qualification	
Assignment briefs: These have been written and all have been internally verified prior to being issued to learners	
I have an internal verification schedule and I know: <ul style="list-style-type: none"> • which Assessors and internal units will be internally verified • the sample size for each Assessor based on associated risk 	
My Standards Verifier has made their initial contact with me and I have their contact details	
In preparation for standards verification, I have updated my assessment plan as appropriate	
I have sent my Standards Verifier the assessment plan/s and they have selected the units for sampling.	
I have sent the tracking information for the unit/s selected for sampling and have indicated which learners have been internally verified.	
I have sent my Standards Verifier: <ul style="list-style-type: none"> • Assignment brief(s) • Internal Verification of Assignment Brief/s • Learner evidence • Authentication Declaration for each learner • Sample Consent permission form • Assessment records for each learner including any resubmissions and retakes • Employer Involvement form (where applicable) • Evidence of internal verification of assessment decisions • Lead IV Declaration form <p><i>All assessment documentation can be viewed by your Standards Verifier on myBTEC or submitted electronically, including learner evidence.</i></p>	



BTEC Sample Sizes

Sampling Exceptions

Childcare and Introductory Level 1

There are specific rules for these qualifications. Further information can be found on the [Key Documents](#) page on our website.

2010 QCF Legacy

Number of registrations	Level 1	Level 2	Level 3 Certificate or Subsidiary Diploma	Level 3 90-Credit Diploma or Diploma or Extended Diploma	Second Sample Requirements
1-3 learners	1 unit x all learners	1 unit x all learners	1 unit x all learners	2 units x all learners	All learners per affected unit +1 further unit all learners
4-249 learners	1 unit x 3 learners	1 unit x 3 learners	1 unit x 4 learners	2 units x 4 learners	+4 learners per affected unit +1 further unit x 4 learners
250+ learners	1 unit x 8 learners	1 unit x 8 learners	1 unit x 8 learners	2 units x 8 learners	+ 8 learners per affected unit +1 further unit x 8 learners

2012 Firsts

Number of registrations	Award	Certificate or Extended Certificate	Diploma	Second Sample Requirements
1-3 learners	1 unit x all learners	2 units x all learners	3 units x all learners	All learners per affected unit +1 further unit all learners
4-249 learners	1 unit x 4 learners	2 units x 4 learners	3 units x 4 learners	+4 further learners per affected unit & +1 further unit x 4 learners
250+ learners	1 unit x 8 learners	2 units x 8 learners	3 units x 8 learners	+8 further learners per affected unit & +1 further unit x 8 learners

RQF L3 Nationals

Number of registrations	Certificate or Extended Certificate or Foundation Diploma	Diploma or Extended Diploma	Second Sample Requirements
Up to 2 learners	1 unit x all learners	3 units x all learners	All learners per affected unit +1 further unit x all learners
3-249 learners	1 unit x 3 learners	3 units x 3 learners	+3 learners per affected unit & +1 further unit x 3 learners
250+ learners	1 unit x 6 learners	3 units x 6 learners	+6 learners per affected unit & +1 further unit x 6 learners

L2 Tech Awards

Number of registrations	Award	Second Sample Requirements
1-3 learners	1 component x all learners	all learners per affected component +1 further component all learners
4 or 5 learners	1 component x 4 learners	
6-249 learners	1 component x 4 learners	+6 learners per affected component +1 further component x 6 learners
250+ learners	1 component x 8 learners	+8 learners per affected component +1 further component x 8 learners

L2 Technicals

Number of registrations	240 qualification size	360/480 qualification size	Second Sample Requirements
Up to 2 learners	2 units x all learners	3 units x all learners	All learners per affected unit +1 further unit all learners
3-249 learners	2 units x 3 learners	3 units x 3 learners	+3 learners per affected unit & +1 further unit x 3 learners
250+ learners	2 units x 6 learners	3 units x 6 learners	+6 learners per affected unit & +1 further unit x 6 learners

RQF Entry 3 - Entry to Vocational Studies

Number of registrations	70/110 qualification size	180/300 qualification size	Second Sample Requirements
Up to 2 learners	1 unit x all learners	2 units x all learners	All learners per affected unit +1 further unit all learners
3-249 learners	1 unit x 3 learners	2 units x 3 learners	+3 learners per affected unit & +1 further unit x 3 learners
250+ learners	1 unit x 6 learners	2 units x 6 learners	+6 learners per affected unit & +1 further unit x 6 learners

Further Guidance

If you require more support or have any questions you can contact the **BTEC Assessment** team at: btecdelivery@pearson.com or your dedicated Vocational Quality Advisor at: qualitynominees@pearson.com

Timeline

We have tools to help LIVs and QNs plan their BTEC delivery [here](#).

QN's – make sure you sign up for our regular newsletter!

