

BTEC International
**Centre Guide to
Internal Assessment
2023-2024**



BTEC International Centre Guide to International Assessment 2022-23

Contents

Introduction	4
Which qualifications does this guide cover?	4
Planning	5
Assessment Plans.....	5
Planning internal verification	6
Pearson Set Assignments (PSAs) for new Pearson BTEC International Level 3 and Level 2 Applied Science Qualifications 2020 and Level 2 Art and Design qualifications 2021	6
Assessment and grading	8
Degree of Control (applies to Pearson Set Assignments).....	Error! Bookmark not defined.
Assessing Unit Content	8
Submission of evidence	9
Authenticity and authentication.....	10
Resubmission of evidence	11
Opportunities for resubmission of evidence	11
Procedure for resubmission of evidence.....	11
Managing large cohorts	12
Retakes/Re-sits	13
Conditions for retaking a new assignment.....	13
Meeting deadlines.....	13
Feedback Stages.....	15
Teaching and learning: giving feedback to learners.....	15
During assessment: giving feedback to learners.....	15
Feedback vs 'Coaching'	16
Resources available to help learners generate evidence	16
Following assessment: giving feedback to learners.....	17
Annotating learner work	17
Marking spelling, punctuation, and grammar	17
Learner appeals and malpractice	18
Recognition of Prior Learning.....	18
Assessment tracking and recording	18

Retention of learner evidence and assessment records	19
Learners moving onto a larger qualification	20
Eligibility for an award.....	21
Other essential guidance.....	22
BTEC qualification specifications	22
Forms and templates.....	22

Introduction

Welcome to BTEC and we hope you enjoy teaching the qualification.

This guide provides essential information for BTEC programme teams on the planning and implementation of the internal assessment of BTEC qualifications.

Which qualifications does this guide cover?

This guide covers BTEC qualifications from Entry Level to Level 3.

This includes:

- BTEC Level 1 Entry and Introductory Suite (from 2016)
- BTEC Level 1/2 Firsts
- BTEC 2010 Level 3 Nationals, Level 2, Level 1 and Entry Level
- New Pearson BTEC International Level 3, Level 2 Applied Science qualifications 2020 and Level 2 Art and Design qualifications 2021.

It does not cover:

- BTEC Qualifications accredited by SQA.
- BTEC Entry Level (legacy programmes)
- BTEC Level 1 (legacy ungraded programmes)
- BTEC Specialist programmes from Entry to Level 3
- BTEC PSD, Workskills and Skilled for Life
- BTEC Higher Nationals (Level 4-7)

Planning

Making time for planning is the best way of ensuring everything is in place for robust assessment.

Assessment Plans

The assessment plan is a working document and as such may need to be changed during the year. The use of version numbers will create a clear audit trail if this happens. Robust assessment plans should be developed jointly by the programme team and be verified by the Lead Internal Verifier (where applicable).

As a minimum requirement, the assessment plan should include:

- names of all Assessors and Internal Verifiers
- scheduling for assignment hand-out dates including Pearson Set Assignments for new Pearson BTEC International Level 3 and Level 2 Applied Science qualifications 2020 and Level 2 Art and Design qualifications 2021
- deadlines for assessments
- scheduling for internal verification
- scheduling of the opportunity for resubmission

Suggested areas of focus when planning for assessment are:

- unit sequencing or integration – including planning of synoptic assessments
- rules of combination
- values of units selected appropriate to size of qualification
- assignments and projects
- resource planning, such as when to deploy specialist staff
- timetabling, events, shows and trips
- schemes of work
- planning assignment deadlines across the programme to ensure a continually balanced workload for learners
- feedback from learners and from external sources e.g. progression providers
- ensuring authenticity of learner work
- access to external resources

A BTEC qualification is comprised of individual units that cover specific topics. Both a unit-by-unit approach and an integrated approach are valid and appropriate delivery methods. In designing the assessment plan, the splitting of Learning Aims/Learning Objectives across assignments and/or the provision for extra assignments or tasks to meet the Merit or Distinction criteria must be avoided. Tasks are expected to be written to allow the opportunity for the full achievement of Pass, Merit and Distinction criteria within each learning aim.

If deciding to deliver through an integrated approach, it is important to map the assessment of evidence against assessment criteria across units and maintain accurate records of learner achievement for each unit. It is also important to consider the published guidance in the unit specification to ensure a coherent programme of study. For example, core units often provide a foundation of knowledge for other units and synoptic assessment should be carefully planned so assessment takes place towards the end of the programme.

For programmes where units are successfully integrated, the assessment plan should provide the appropriate assessment criteria for each unit.

Planning internal verification

Internal verification is a quality assurance approach used to monitor assessment practices and decisions.

Good internal verification will ensure that:

- Assignment Briefs are fit for purpose
- Assessment decisions accurately match learner evidence to the unit assessment criteria and assessment guidance
- Assessors are standardised to enable consistent assessment and grading across the programme

The internal verification schedule should be established before the programme commences. There is not a requirement that all learners are internally verified during the lifetime of a programme.

Full guidance on internal verification can be found in the BTEC International Centre Guide to Internal Verification.

Pearson Set Assignments (PSAs) for new Pearson BTEC International Level 2 and 3

Some mandatory units in the qualifications are assessed using a set assignment. By setting an assignment for a unit, we can ensure that all learners take the same assessment for a specific unit. Each assessment is set by Pearson and may need to be taken under controlled conditions before it is marked by teachers.

Further information about PSA

- Pearson Set Assignment should not be shared with learners prior to the start of the assessment period. Teachers/tutors are responsible for security of Pearson Set Assignment and materials.
- Pearson Set Assignment units will be assessed internally by the centre using the unit Assessment Criteria detailed in the qualification specification.
- Pearson Set Assignments have recommended timelines. **These are suggestions only.** Your learners may need more time to complete these and this should be permitted if needed.
- Pearson Set Assignment units are subject to external standards verification processes common to all BTEC units.

- Centres should check section 2 in the programme specification carefully, where it includes a summary of the set assignments for the qualification being delivered and assessed. This to be checked together with the details of the unit being assessed, so that you can timetable learning and assessment periods appropriately.
- Units assessed using Pearson Set Assignments are subject to the same assessment rules as all other internally assessed units.
- Learners are permitted to retake/re-sit Pearson set assignment units during their programme.
- Learners must take the Pearson Set Assignment for the set assignment unit.
- Teachers are not permitted to create their own assessments for set assignment units.
- Set assignments are available from September each year and are valid for one year only.
- At least one Pearson Set Assignment will be available each year for each unit with an additional one provided for retake/re-sit opportunities.
- Centres must not select an assignment that learners have attempted already.
- Centres can choose any of the published versions to assess their learners in the first submission.
- Centres can use the same version that have been used in the first submission for the opportunity of resubmission for PSA.
- In case of a retake/re-sit, centres should use the other version of the published PSA.
- Each set assignment has a defined degree of control under which it must take place, this can be located in the unit being assessed and the programme specification.
- Learners must complete an authentication sheet and submit this along with their work.

More information can be found in the qualification specification and Pearson Set Assignment published on our [website](#).

Degree of Control (applies to Pearson Set Assignments)

For Pearson Set Assignments, centres must make arrangements for the secure delivery of Pearson Set Assignments. Each set assignment has a defined degree of control under which it must take place. We define degrees of control as follows.

Medium control

This is completion of assessment, usually over a longer period of time, which may include a period of controlled conditions. The controlled conditions may allow learners to access resources, prepared notes or the internet to help them complete the assignment.

Low control

These are activities completed without direct supervision. They may include research, preparation of materials and practice. Each set assignment unit will contain instructions in the Essential Information for assignments section on how to conduct the assessment of that unit.

Limited Control

Some set assignments will need to be taken with limited controls. Limited controls are described in each unit and may include the following conditions:

- **Time:** each assignment has a recommended time period. This is for advice only and can be adjusted depending on the needs of learners.
- **Supervision:** you should be confident of the authenticity of learner's work. This may mean that learners need to be supervised.
- **Resources:** all learners should have access to the same types of resources to complete the assignment.

- **Research:** learners should be given the opportunity to carry out research outside of the learning context if required for the assignment. Schools and colleges must be able to confirm that learner evidence is authentic.

Assessment and grading

For internally assessed units, the format of assessment is an assignment taken after the content of the unit, or part of the unit if several assignments are used, has been delivered. An assignment may take a variety of forms, including practical and written types. An assignment is a distinct activity, completed independently by learners, that is separate from teaching, practice, exploration and other activities that learners complete with direction from teachers.

An assignment is issued to learners as an assignment brief with a defined start date, a completion date and clear requirements for the evidence that they need to provide. There may be specific observed practical components during the assignment period. Assignments can be divided into tasks and may require several forms of evidence. A valid assignment will enable a clear and formal assessment outcome, based on the assessment criteria. For most units, teachers will set the assignments. For controlled assessment units, Pearson will set the assignment.

The Assessor should decide when the learner is suitably prepared to undertake the assessment. Once learners are working on assignments which will be submitted for assessment, it is essential that they work independently to produce and prepare evidence for assessment.

Before commencing an assessment, the Assessor should ensure each learner understands:

- the assessment requirements
- the nature of the evidence they need to produce
- the importance of time management and meeting deadlines, including the consequences for late submission
- the importance of submitting authentic work

Assessing Unit Content

Professional judgement will always be required but the following principles apply to all BTEC programmes:

- It is not a requirement that all the content is assessed unless specifically directed by the assessment criteria and associated assessment guidance in the unit specification
- Assessors are expected to deliver (i.e. 'teach') the unit content fully. You must cover all the elements of content, apart from anything preceded by 'e.g.' which is merely an example of what you may choose to cover.
- A learner is not required to provide evidence for all the unit content but is required to provide sufficient evidence to address the assessment & grading criteria to successfully achieve the unit.

Submission of evidence

Only **one submission** is allowed for each assignment including Pearson Set Assignments. The Assessor is expected to formally record the assessment result and confirm the achievement of specific assessment criteria.

Each learner should submit:

- evidence towards the targeted assessment criteria
- a signed and dated declaration of authenticity with each assignment which confirms they have produced the evidence themselves. The declaration can be:
 - on the assessment record,
 - a separate learner authenticity declaration,
 - an electronic platform, or
 - by incorporating a learner declaration into an Assignment Brief front sheet.

The assessor should then:

- formally record and confirm the achievement of specific assessment criteria
- complete a confirmation form that the evidence they have assessed is authentic and is the learner's own work to the best of their knowledge

Before your learners start an assessment, you should:

- be confident they are sufficiently prepared to undertake the assessment
- encourage them to aim at "getting it right" on first submission so they are not relying on a resubmission or retake/re-sit where applicable

This should help learners develop responsibility for their own achievement and prepare them for the world of work or Higher Education.

Top Tip from the BTEC Assessment Team

The BTEC assessment record template captures the learner authentication, Assessor feedback and authentication, supporting you with reducing the number of forms needing to be completed at the assessment stage

Authenticity and authentication

Assessors should only accept evidence for assessment that is authentic, i.e. that is the learner's own work and that can be judged fully to see whether it meets the assessment criteria. Learners are asked to authenticate the evidence that they provide for assessment by signing a declaration stating that it is their own work when they submit it.

Assessors should ensure that authenticity is considered when setting assignments. For example, ensuring that each learner has a different focus for research could reduce opportunities for copying or collusion.

It is important that all evidence can be validated through verification. When practical and performance evidence is used, it is important to consider how supporting evidence could be captured through the use of videos, recordings, photographs, handouts, task sheets etc.

The authentication of learner evidence is the responsibility of each centre. If through the assessment process it is found that some or all the evidence is not authentic, Assessors need to take appropriate action, including invoking centre malpractice policies as required.

Using Electronic Signatures

Electronic signatures are permitted on all assessment documentation if there is an audit trail to support its authenticity. This could include either:

- an email from the learner/Assessor with the record attached to show that it has been sent from them
- a system log to show that the learner/Assessor has submitted the record
- a dated comment on the assessment documentation stating 'Signed: Assessor or Learner Name'

Resubmission of evidence

Opportunities for resubmission of evidence

Because every assignment contributes towards the final qualification grade, learners may be eligible for one resubmission of evidence for each assignment submitted (only targeting the criteria which were not achieved in the original assignment).

Your Lead Internal Verifier can authorise a resubmission which ensures any resubmissions are fairly and consistently implemented for all learners.

When can a Lead Internal Verifier authorise a resubmission?

The Lead Internal Verifier can only authorise a resubmission if all the following conditions are met:

- The learner has met initial deadlines set in the assignment or has met an agreed deadline extension.
- The Assessor judges that the learner will be able to provide improved evidence without further guidance.
- The Assessor has authenticated the evidence submitted for assessment and the evidence is accompanied by a signed and dated learner declaration of authenticity.

What if a learner does not meet all of these conditions?

If a learner has not met these conditions, the Lead Internal Verifier must not authorise a resubmission.

Remember

A resubmission is another chance to undertake the same assignment and all criteria are available

Procedure for resubmission of evidence

If the Lead Internal Verifier does authorise a resubmission, there is a clear, simple procedure which will be applied consistently across all learners and centres.

Forms and deadlines

If the Lead Internal Verifier does authorise a resubmission, it must be:

- recorded on the assessment record
- giving a deadline for resubmission within 15 working days* of the learner receiving** the results of the assessment
- undertaken by the learner with no further guidance

** 15 working days must be within term time, in the same academic year as the original submission and must not fall over a holiday period. If learners are studying part time, this is the equivalent of 15 days of “study time” to ensure all learners are treated fairly.*

*** Feedback must be received by learners close to the assessment date. It is not permissible for Assessors to retain the feedback until the following term for example, just before a resubmission week or at the end of the year once the learner’s overall achievement for the qualification is known*

Standards Verification

Our Standards Verifiers will require you to include evidence of resubmitted work in sampling, including:

- Evidence of Lead Internal Verifier authorisation signed and dated, with the resubmission deadline clearly stated
- The initial assessment record
- The resubmitted learner evidence accompanied by a signed-and-dated declaration of authenticity by the learner
- The resubmission assessment record, detailing the additional learner evidence submitted and showing any related changes to the assessment decisions
- Confirmation from the assessor that the resubmitted evidence is authentic and is the learner’s own work

Managing large cohorts

For BTEC teams who are overseeing large cohorts, it’s even more important for the Lead Internal Verifier to:

- Ensure at the start of the programme that there is an agreed procedure in place to manage submission and resubmission of evidence
- Brief the BTEC programme team and the learners up front to ensure everyone is clear about the deadlines and the processes involved.
- Ensure that all this detail is shown on the assessment plan at the start of the year.

Retakes/Re-sits

Retakes are available for the following qualifications:

- BTEC 2010 Firsts and Nationals (Legacy)
- 2016 Introductory Suite

A **Re-sit** is only used for new Pearson BTEC International Level 3 and Level 2 Applied Science qualifications 2020 and Level 2 Art and Design qualifications 2021.

Conditions for retaking a new assignment

If a learner has met all of the conditions listed above in the opportunity for resubmission, but has still not achieved the targeted **Pass** criteria following the resubmission of an assignment, the Lead Internal Verifier may authorise one retake/re-sit opportunity to meet the required pass criteria

The Lead Internal Verifier must only authorise a retake/re-sit in exceptional circumstances where they believe it is necessary, appropriate and fair to do so.

- The retake/re-sit must be a new task or assignment targeted only to the pass criteria which were not achieved in the original assignment. For Pearson Set Assignments, a different PSA version should be used for a re-sit.
- The assessor must agree and record a clear deadline before the learner starts a retake/re-sit.
- The learner and the assessor must sign declarations of authentication as they both did for the previous submissions.
- The assessor cannot award a merit or distinction grade for a retake/re-sit assignment i.e. capped to a Pass.
- The learner will not be allowed any further resubmissions or retakes/re-sit.

Standards Verifiers will require you to include evidence of any retake/re-sit in sampling where applicable

Meeting deadlines

Setting and meeting deadlines for assessment is an essential part of BTEC delivery. Learners should be assessed fairly and consistently, **and learners should not be advantaged by having additional time to complete assignments.**

You should have in place a clear assessment procedure for a learner to formally apply for an extension if they have genuine reasons for not meeting a deadline, such as illness. If an extension is granted, the new deadline should be adhered to and recorded. This should be covered with learners in their induction at the start of the programme,

Please note that once evidence is accepted for assessment, learners are not to be penalised purely for submitting work late, unless this is explicitly included in the Merit or Distinction assessment criteria and/or the associated assessment guidance. Only the assessment criteria for the units can be used for assessment decisions.

If a learner is not able to use an extension opportunity then it may be appropriate to request special considerations.

Top Tip

The above information should be included in your centre assessment policy. The consequences of late submission and procedure for extensions to deadlines would be your centre contextualisation

Feedback Stages

Feedback Stages		
<p>Teaching and Learning</p> <p>During teaching and learning, you are using your best professional judgement about the nature, quantity or level of feedback.</p> <p>Your feedback could include, for example:</p> <ul style="list-style-type: none"> Identify areas for learner progression, including stretch and challenge. Explain clearly how BTEC assessment works and what learners need to do to achieve a Pass, Merit or Distinction. Set “dry run”, “mock” or “Sample Assessment Materials” tasks and scenarios to help learners understand what level they have reached and prepare for assessment. Feedback on how to improve knowledge, skills, understanding, behaviour, approach, grammar etc. 	<p>During Assessment</p> <p>While learners are working on an assessment, you can continue to give general feedback and support, particularly around the development of knowledge, understanding and skills.</p> <p>Your feedback could include, for example:</p> <ul style="list-style-type: none"> Guidance on how to approach the knowledge and skills requirements. Guidance on appropriate behaviour and approach, confirmation of deadlines etc. Confirmation of which criteria the assessor is targeting and clarification of what the assignment brief requires. 	<p>Following Assessment</p> <p>On the assessment record, you should give clear feedback on:</p> <ul style="list-style-type: none"> The criteria the learner achieved (explaining the assessor’s decisions) The criteria not achieved (and why) although you should not provide a list of instructions on how to get a higher grade. <p>Your feedback could include, for example:</p> <ul style="list-style-type: none"> Which assessment criteria the learner has achieved and what the learner has done well. Which assessment criteria the learner has not achieved and what was missing generically. Information or guidance available to the learner they could have drawn on (e.g. class notes; handouts; resources in assignment brief) General behaviour and conduct, approach, grammar etc.

Feedback Stages

Teaching and learning: giving feedback to learners

Teaching and learning – preparing for assessment

As a BTEC teacher you are using your best professional judgement to actively monitor and support progression during teaching and learning, although you must stop short of confirming grades before the assessment is complete.

Teachers providing additional supported learning are already aware of the line between helping learners achieve their full potential, and doing the work for them, and the framework of rules for BTEC assessment reinforces these principles.

The evidence learners submit for assessment must always be their own work. This means that during teaching and learning phase, learners should develop decision making and personal skills to support their achievement of the assessment criteria

Predicted grades and on-going progress reporting

Predicted grades are a useful indicator of expected achievement – but it is important that learners understand that they are just an indicator rather than a confirmation of final achievement. They do not count as formal assessment nor confirmation of achievement.

The assessment rules help to reinforce this by making a clear separation between:

- the feedback given during teaching and learning (which could include predicting grades and on-going progress reporting) and
- support supervision and feedback during and following assessment.

Once a learner has started to receive results of their assessments, they will have concrete information on how well they are achieving and how that achievement translates into a grade. This means that BTEC teachers, assessors, internal verifiers and learners can all be clear about how they are achieving and progressing during the programme or course, and this information can also:

- inform predicted grades
- help identify and focus on areas for stretch and progression.

During assessment: giving feedback to learners

The teacher or tutor must decide when the learner is fully prepared to undertake the assessment.

Once learners are working on assignments which they will submit for assessment, **they must work independently** to produce and prepare evidence for assessment.

While learners are undertaking an assessment, teachers and tutors can continue to give general feedback and support, particularly around the development of knowledge, understanding and skills (see the Feedback Stages above).

However, your feedback should not include assessment of the specific assignment evidence as your learners are generating it or confirm the achievement of specific assessment criteria – this happens only when formal assessment takes place.

Teaching teams should also supervise learners when they are undertaking assignment work in class – although the work itself must be produced by the learners themselves, either in or outside the class.

For New Pearson BTEC International Level 3 qualifications, degree of control must be considered for Pearson Set Assignments, and limited controls for New Pearson BTEC International Level 2 (Applied Science and Art and Design qualifications). For more information, see “Authenticity and authentication” in this guide.

Feedback vs ‘Coaching’

Learners must show that they can generate evidence independently using their knowledge, skills and understanding gained through the learning and teaching process.

BTECs are vocational qualifications, designed to help learners become independent workers in their chosen field. So, while it is important to continue giving general feedback and support during assessment, it is not appropriate for teachers and tutors to:

- provide direct input, instructions or specific feedback that may compromise authenticity
- “coach” learners to produce the evidence itself
- give them a specific list of actions they need to take to meet the assessment criteria or achieve a particular grade.

Working on an assessment

Once the learner begins work for the assessment, the tutor must not:

- provide specific assessment feedback on the evidence produced by the learner before it is submitted for assessment
- confirm achievement of specific assessment criteria until the assessment stage

Resources available to help learners generate evidence

Once learners are working on assignments, they still have access to a range of information to help them generate evidence:

- knowledge and skills gained during teaching on the programme
- handouts and learning resources available for the programme
- the unit content, assessment criteria and assessment guidance in the programme specification
- the assignment brief, the vocational scenario, specific tasks, evidence and information sources.

Following assessment: giving feedback to learners

Following assessment, the assessor formally records their assessment decisions against individual assessment criteria on the assessment record.

The assessment record provides a formal opportunity for the assessor to give feedback to support learner progression.

The assessor should:

- give feedback on which criteria the learner has achieved – and not achieved – giving clear reasons why so the learner can learn and progress.
- avoid giving direct, specific instructions on how the learner can improve the evidence to achieve a higher grade.

During teaching and learning, you are using your best professional judgement about the nature, quantity or level of feedback.

The assessment rules for BTEC do not cover formative feedback – only feedback during and following assessment.

Remember

A sample of assessment decisions should be Internally Verified before returning the work and feedback to the learners.

Annotating learner work

We recognise that it is good practice to make annotations on learner work during feedback. This helps the learner, Assessors, Internal Verifiers and Standards Verifiers identify where evidence towards specific assessment criteria can be found.

However, the annotations themselves do not constitute confirmation of achievement of specific assessment criteria; they are merely indicators to where the evidence can be found.

Marking spelling, punctuation, and grammar

It is good practice for Assessors to "mark" spelling and grammar i.e. correct mistakes on learner work and expect the learner to correct them.

Mistakes in spelling and grammar should not influence assessment decisions unless:

- the mistakes are so problematic that they undermine the evidence of learner understanding, or
- specific assessment criteria require good communication, spelling and grammar and/or correct use of technical language.

If learner work has consistently poor spelling, grammar or language below the standard expected at the level of the qualification, marking should be delayed before the first submission until the learner has resubmitted work considered to be up to standard. This should be within a timeframe not considered to provide an unfair advantage to the learner.

Learner appeals and malpractice

You should have in place a means for ensuring all learners and staff are aware of the following:

- what constitutes an appeal and what is considered assessment malpractice?
- the related processes for instigating an appeal or investigating malpractice
- the possible outcomes that may be reached
- the consequences of both internal and external outcomes
- the process that exists to enable learners to make an appeal with Pearson relating to the external or internally awarded assessment outcomes

Procedures should be known and understood by learners and staff. Malpractice issues can be minimised by ensuring learners/staff are aware of the issues e.g. plagiarism, collusion, fabrication of results, falsifying grades, fraudulent certification claims, referencing skills and promoting a zero-tolerance approach.

The appeals process must be understood by learners and staff. It should be transparent and enable formal challenges to assessment grades.

A thorough learner induction programme could cover this. The learner handbook is also a useful way to ensure the key information about your assessment and appeals policies are communicated.

Recognition of Prior Learning

Recognition of Prior Learning is a method of assessment that considers whether learners can demonstrate that they can meet the assessment requirements for a unit through knowledge, understanding or skills they already possess and so do not need to develop through a course of learning. It is used sparingly and is likely to be more applicable to adult learners returning to education.

Centres are expected to develop their own policy in line with Pearson policy. Further guidance on Recognition of Prior Learning can be found on the Policies section of the website: [Pearson Policies](#)

Assessment tracking and recording

It is necessary to track and record learner achievement throughout your BTEC programme. All assessment should be recorded in a way that assures the following:

- assessment evidence is clearly measured against national standards
- learner progress can be accurately tracked
- the assessment process can be reliably verified
- there is clear evidence of the safety of certification

Tracking learner progress, recording what each learner has achieved per criteria and what still has to be done on a unit-by-unit basis, helps to ensure full coverage of the units and provide opportunities for grading. It also helps enable internal verification and to provide samples for Standards Verifiers and other external audits as required.

Up to date, securely stored assessment records help to minimise the risk of assessment malpractice, or potential issues if an assessor leaves during a BTEC programme.

Retention of learner evidence and assessment records

Original learner evidence must be kept current, safe and secure for 12 weeks after learners have been certificated. Please note that the 12 weeks starts once the certificates have actually been received by the centre. Due to the nature of the evidence produced for Art & Design practical work, this can be good quality photos or videos rather than the original evidence.

For learners undertaking a top-up qualification (see below) the learner work must be retained for 12 weeks after certification (receipt of certificates) of the largest size qualification that the learner will be undertaking. Work that has been certificated will not be called for standards verification sampling.

Following learner certification, the following BTEC documentation should be retained for a minimum of three years

- assignment briefs,
 - assessment records (feedback sheets)
 - the associated internal verification documentation,
 - achievement tracking at criteria level. This will require:
 - storing all assessment records securely and safely relating to both internally and externally set assessments. This may be electronic. It is essential records are securely kept for a Pearson audit if required and in case of learner appeals or certification issues, for example
 - maintaining records of learner achievements that are up to date, regularly reviewed and tracked accurately against national standards having all current learner evidence available for verification purposes.
- Once learners have received their BTEC certificates, you may return their work to them 12 weeks after the certification date.**
- retaining records of assessment decisions at criterion and unit level for centre and awarding body scrutiny for a minimum of three years following certification.

All assessment records should be made secure against hazards like theft and fire, etc. The records should be of sufficient detail to show exactly how assessment decisions were made (i.e. to assessment criterion level). Data should only be accessible by relevant staff.

Current learner work needs to be made available to Pearson as required. On occasion, the regulator may also request portfolios of learner work and assessment records.

It is a risk to allow learners to keep work long-term while on the programme. Evidence produced by learners still on the programme should be kept at the centre. Electronic archiving is acceptable, providing it is secure and accessible on request.

Learners moving onto a larger qualification

Top-up registration

Top-up registration reopens a learner's registration following the certification of a smaller size BTEC qualification. Top-up registration fees are proportionately lower than full registration for the larger qualification, to reflect the fact that a proportion of the larger qualification has already been achieved.

After you have made a top-up registration for your learner, the achievement of the certificated units will be imported into your records on Edexcel Online.

There is no opportunity to retake/re-sit the assessments in the internally assessed units via a top-up registration, so the imported grades are final. It is possible for a learner to retake/re-sit externally assessed units.

Re-registration

If your learner did not realise their full potential in a BTEC qualification that they have been certificated for and wishes to study a bigger size BTEC qualification at a new centre, then an entirely new registration may be more appropriate than a top-up registration. In this case the learner is asked to produce entirely new evidence for assessment generated by the centre's assignment briefs, Authorised Assignment Brief or Pearson Set Assignments where used.

Remember

Your groups may contain a mix of learners studying the qualification for the first time as well as learners who are topping up. Care should be taken to ensure that all members of these groups are equally engaged and challenged for example by ensuring all team members in group activities have an opportunity to perform the leadership roles.

Eligibility for an award

(Applies to the new Pearson BTEC International Level 3, Level 2 Applied Science qualifications 2020 and Level 2 Art and Design qualifications 2021)

In order to be awarded a qualification, a learner must complete all units, achieve a Pass or above in all mandatory units unless otherwise specified. Refer to the structure in Section 2 in the programme specification.

To achieve any qualification grade, learners must:

- complete and have an outcome (D, M, P or U) for all units within a valid combination.
- achieve the required units at Pass or above shown in Section 2, abiding by the minimum requirements in the compensation table stated in the programme specification.
- achieve the minimum number of points at a grade threshold.

It is the responsibility of a centre to ensure that a correct unit combination is adhered to. Learners who do not achieve the required minimum grade (P) in units shown in the structure will not achieve a qualification. Learners who do not achieve sufficient points for a qualification or who do not achieve all the required units may be eligible to achieve a smaller qualification in the same suite, provided they have completed and achieved the correct combination of units and met the appropriate qualification grade points threshold.

Other essential guidance

BTEC qualification specifications

The specification for each BTEC qualification is the document that programme leaders and teams should use as their first point of reference for all planning and assessment.

Specifications are accompanied by important assessment and delivery guidance which provide instructions and advice for each unit in the qualification. All BTEC specifications are freely available on the [BTEC website](#).

Forms and templates

We publish a range of useful forms and templates for you to use in your centre: [BTEC assessment and verification tools](#), these forms are not mandatory, but we would recommend that you use them to assist and support you throughout the programme.

They include:

- Assessment Plan
- Internal Verification of Assignment Briefs
- Assignment Brief
- Internal Verification of Assessment Decisions
- BTEC Internal Verification Plan
- Assessment Record
- Record of Practical Activity
- Record of Activity Guidance
- Learner Declaration
- Lead Internal Verifier [declaration](#).

You should also consider our policies on assessment, which can be found here: [Pearson Policies](#)

If you do have a question, please contact our Customer Services Team using the [Pearson Contact Portal](#)